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We discuss various realizations of the four-dimensional braneworld inflation in warped geometries of string theory. In all models the inflaton field is represented by a Dp probe brane scalar specifying its position in the warped throat of the compactification manifold. We study existing inflationary throat local geometries, and construct a new example. The inflationary brane is either a $D3$ or a $D5$ brane of type IIB string theory. In the latter case the inflationary brane is wrapping a two-cycle of the compactification manifold. We discuss some phenomenological aspects of the model where slow-roll conditions are under computational control.

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I. INTRODUCTION

Inflation [1–3] is an attractive scenario which solves many important problems in cosmology. The basic idea of its simplest realization is that our Universe went through the stage of the accelerated expansion driven by the potential energy of the slowly rolling inflaton field. In agreement with current observational data such a model naturally predicts a flat Universe and a scale invariant spectrum of density perturbations, provided the inflaton potential is sufficiently flat. It is thus important to find an embedding of inflation in the fundamental theory of quantum gravity, such as a string theory.

Recently there has been considerable progress in implementing this program. Based on the developments of the moduli stabilization problem in string compactifications [4,5], a framework of constructing de Sitter vacua in string theory (with all moduli stabilized) was proposed in [6] (KKLT). It was further pointed out in [7] (K^2LM^2T) that warped de Sitter vacua of KKLT is a natural setup to embed $D3\overline{D3}$ inflation [8–11] into string theory. In the original braneworld model scenarios [8–11] the inflaton field is identified with the separation between four-dimensional domain walls (3-branes) moving in a *flat* transverse six-dimensional space. The main result of [5] is that in realistic string theory compactifications with stabilized moduli, the six-dimensional compactification manifold is not flat—rather, it must contain one (or more) “throat” regions with large warp factors. These warped throat geometries provide string theory realization of the Randall-Sundrum “compactification” scenario [12]. The authors of [7] studied brane-antibrane inflation in warped throat geometries. As the $\overline{D3}$ brane is stabilized at the end of the throat [7], the four-dimensional inflaton field (the $D3 - \overline{D3}$ brane separation in [8–11]) can be identified with the position of the $D3$ in the throat geometry. Unfortunately, the slow-roll parameter associated with the ϕ -field inflation is too large for this model to be realistic

$$\eta \equiv \frac{1}{3} \frac{V_{inf}(\phi)''}{H^2} = \frac{m_\phi^2}{3H^2} = \frac{2}{3}, \quad (1.1)$$

where $V_{inf}(\phi)$ is the inflaton potential, m_ϕ^2 is an inflaton mass, and H is the Hubble scale of the de Sitter vacua. The above conclusion can be best understood by noting that the inflaton of [7] has an effective four-dimensional description in terms of a conformally coupled scalar in the de Sitter background with a Hubble scale H . It was suggested [7] that the η problem might be alleviated once the ϕ dependence of the overall Kähler modulus of the compactification manifold in the superpotential is taken into account [13], or if a Kähler stabilization mechanism (as opposite to the superpotential stabilization) is used to fix the size of the compactification manifold. Each of these proposed mechanisms is fairly difficult to implement/verify in the context of the low-energy effective description used to construct de Sitter vacua of [6].

A complementary approach for analyzing inflation in warped de Sitter string theory geometries which, in particular, bypasses the difficulties of computing corrections to η from the effective four-dimensional perspective mentioned above was proposed in [14]. It was pointed out that the brane inflation in the scenario of [7] occurs deep inside the warped throat geometries, where the details of the compactification manifold are not important. All that matters from the compactification manifold is that it, providing a UV completion of the otherwise infinite throat, supplies a four-dimensional Hubble parameter H . Also, in this setup it is assumed that all moduli of the compactification manifold are fixed, and the scale of moduli stabilization E_s is much higher than the relevant scales of inflation $E_s \gg H$, $E_s \gg |\phi|$. It is clear that $D3$ brane inflation in this class of models is equivalent to the probe brane dynamics in the local geometry where the throat, rather than terminating on some complicated (compact) Calabi-Yau manifold, extends to infinity. The advantage of this viewpoint is that, unlike compact KKLT backgrounds, the correspond-

ing local models can be rather easily and explicitly constructed. For example, much like KS model [15] is a local description of the throat geometry of the GKP compactification [5], the de Sitter deformed KT model [16] described in [17,18] is a local realization of the throat geometry of the KKLT model.¹ The inflation, or equivalently the brane probe dynamics, can now be studied very explicitly and analytically. Thus, studying inflation as probe dynamics in de Sitter deformed KT backgrounds [17,18] it was shown that the η problem persists.² It was further shown in [19] that (1.1) is a direct consequence of imaginary-self-dual (ISD) condition³ on the 3-form fluxes, used in [5,6] to stabilize the complex structure moduli of the compactification manifold.

As emphasized in [14], using probe brane dynamics as a tool for a quantitative analysis of the braneworld inflation⁴ in the warped de Sitter geometries is quite general, and can be applied outside the inflationary scenario of [7]. Specifically, the warped throat geometry of the inflationary scenario of [7] is locally AdS_5 . The latter is just a reflection of a particular set of fluxes that are turned on. Turning on more generic fluxes would lead to the *deformation* of the inflationary throat geometry away from being locally AdS_5 . One can imagine that the η problem (1.1) in K^2LM^2T inflation is a consequence of a quite restrictive set of fluxes used there, and can be alleviated for a judicious choice of fluxes. In fact, it was argued in [19] that a $D3$ brane inflation in appropriately deformed AdS_5 local throat geometries can lead to a slow-roll inflation. In this paper we confirm that expectation. Additionally, we study “wrapped-brane” inflationary models. Thus, in Sec. III we discuss inflation modeled by a $D5$ brane wrapped on a two-cycle of the de Sitter deformed Maldacena-Nunez (MN) geometry [22]. The supersymmetric background geometry of MN realizes the backreaction of a large number of $D5$ branes wrapping a two-cycle of the resolved conifold, with a “twist” preserving four supercharges. The corresponding de Sitter deformed geometry was explained in detail in [23]. Unfortunately we find that from the phenomenological perspective this inflationary model is not viable, as it leads to the slow-roll parameter $\eta = \frac{3}{2}$. Next, we study $D5$ brane inflation in a closely related model,

¹Strictly speaking, the correct local model would be de Sitter deformation of the Klebanov-Strassler solution [15]. For the inflation occurring far from the end of the KS throat the difference between KT and KS models is subdominant, as it will be for their corresponding de Sitter deformations. KS de Sitter deformation as proposed in [18] can be explicitly constructed.

²The computations of [7] leading to (1.1) where done in approximation, where the 3-form fluxes of the background geometry are neglected.

³The ISD condition is modified in the presence of the supersymmetry breaking effects [20].

⁴Related ideas were discussed previously in [21].

i.e., de Sitter deformed background of [24] (GKMW). Supersymmetric GKMW solution represents a supergravity description of $D5$ branes wrapping an S^2 , with the twist preserving eight supercharges. In Sec. IV we first construct de Sitter deformation of the GKMW background, and then proceed to the probe brane analysis. As in the case of inflation in the de Sitter deformed MN throat we find that slow-roll inflation is not possible: $\eta \geq 1$. Some phenomenological constraints for the inflationary models are discussed in Sec. V. The common feature of all discussed local de Sitter deformed geometries is the presence of an energy scale μ that breaks conformal invariance characteristic to AdS_5 throat geometries. Interestingly, depending on the ratio μ/H certain local geometries undergo “cosmological phase transitions.” For a local model both μ and H are nondynamical (parameters). This is not so once local throat geometries are embedded into a global geometry (a compactification). It is possible that these phase transitions might have observable effect on the realistic four-dimensional inflation.

Before we move to a somewhat technical discussion of brane probes in de Sitter deformed local geometries, we would like to mention a phenomenological motivation underling this study. Consider a string theory compactified on a smooth six-dimensional manifold. The presence of D branes will deform a locally flat geometry of a compactification manifold to a warped throat geometry [25,26]. Generically, we expect multiple throats produced from multiple stacks of branes on a compactification manifold. We can imagine a scenario, where one of the throats is of the KKLT type, with a $\overline{D3}$ brane at the bottom, generating the four-dimensional Hubble constant H . Though slow-roll inflation in that throat is not possible, it might still be realized by a mobile brane in a *different* throat, in which local geometry permits sufficiently flat probe brane potentials.⁵ Finally, our proposal is just one way to alleviate the η problem. Interesting alternative ideas for overcoming the difficulties described in [7] for string theory inflationary models were presented in [27–35].

II. INFLATION IN DE SITTER DEFORMED $\mathcal{N} = 2^*$ THROATS

In [19] it was argued that brane inflation in de Sitter deformed $\mathcal{N} = 2^*$ throats might lead to slow-roll inflation with arbitrarily small η parameter. In this section we provide numerical analysis supporting that claim. The relevant throat geometry is that of the supergravity dual to $\mathcal{N} = 2^*$ supersymmetric gauge theory constructed in [36] (PW). The probe dynamics in PW background was discussed in detail in [37,38]. The de Sitter deformation of

⁵We will discuss this in some detail in the phenomenology section.

the PW geometry was constructed in [39], and the $D3$ brane probe dynamics was analyzed in [19]. We first review the necessary data for the background geometry and the $D3$ probe brane effective action. Then we identify singularity-free de Sitter deformed flows in which the $D3$ braneworld inflation is slow roll. Phenomenological aspects of the inflation in $\mathcal{N} = 2^*$ throats are further discussed in Sec. VA.

A. The background and the probe brane dynamics

It is convenient to construct first the background geometry in five-dimensional gauged supergravity, and then further uplift the solution to ten dimensions [39]. The effective five-dimensional action is

$$S = \int d\xi^5 \sqrt{-g} \left[\frac{1}{4} R - 3(\partial\alpha)^2 - (\partial\chi)^2 - \mathcal{P} \right], \quad (2.1)$$

where the potential \mathcal{P} is⁶

$$\mathcal{P} = \frac{1}{48} \left(\frac{\partial W}{\partial \alpha} \right)^2 + \frac{1}{16} \left(\frac{\partial W}{\partial \chi} \right)^2 - \frac{1}{3} W^2, \quad (2.2)$$

with the superpotential

$$W = -e^{-2\alpha} - \frac{1}{2} e^{4\alpha} \cosh(2\chi). \quad (2.3)$$

The supergravity scalars α and χ encode the renormalization group flow of the $\mathcal{N} = 4$ Yang-Mills deformation induced by generically different masses to the bosonic and fermionic components of the $\mathcal{N} = 2$ hypermultiplet. To be more specific, we choose the 5D renormalization group (RG) flow metric as

$$ds_5^2 = e^{2A} (dS_4)^2 + d\rho^2, \quad (2.4)$$

where $(dS_4)^2$ is a metric of the four-dimensional de Sitter spacetime with Hubble scale $H = 1$. Assuming $A \equiv A(\rho)$ and $\alpha \equiv \alpha(\rho)$, $\chi \equiv \chi(\rho)$, equations of motion [derived from (2.1)] become

$$\begin{aligned} 0 &= \alpha'' + 4A'\alpha' - \frac{1}{6} \frac{\partial \mathcal{P}}{\partial \alpha}, \\ 0 &= \chi'' + 4A'\chi' - \frac{1}{2} \frac{\partial \mathcal{P}}{\partial \chi}, \\ \frac{1}{4} A'' + (A')^2 - \frac{3}{4} e^{-2A} &= -\frac{1}{3} \mathcal{P}, \\ -A'' - (A')^2 &= 3(\alpha')^2 + (\chi')^2 + \frac{1}{3} \mathcal{P}. \end{aligned} \quad (2.5)$$

Lacking exact analytical solution of (2.5), in the next section we turn to its numerical analysis. The most general singularity-free solution in the IR ($\rho \rightarrow 0$) is specified by two parameters ρ_0, χ_0

⁶We set the 5D gauged SUGRA coupling to one. This corresponds to setting S^5 radius $L = 2$.

$$\begin{aligned} e^A &= \rho \left[1 + \rho^2 \left[\frac{1}{72} \rho_0^{-4} + \frac{1}{36} \rho_0^2 \cosh(2\chi_0) \right. \right. \\ &\quad \left. \left. - \frac{1}{288} \rho_0^8 \sinh^2(2\chi_0) \right] + \mathcal{O}(\rho^4) \right], \\ e^\alpha &= \rho_0 + \rho^2 \left[\frac{1}{60} \rho_0^{-3} - \frac{1}{60} \rho_0^3 \cosh(2\chi_0) \right. \\ &\quad \left. + \frac{1}{120} \rho_0^9 \sinh^2(2\chi_0) \right] + \mathcal{O}(\rho^4), \\ \chi &= \chi_0 + \rho^2 \left[-\frac{1}{20} \rho_0^2 \sinh(2\chi_0) + \frac{1}{160} \rho_0^8 \sinh(4\chi_0) \right] \\ &\quad + \mathcal{O}(\rho^4). \end{aligned} \quad (2.6)$$

The ultraviolet ($\rho \rightarrow \infty$) asymptotics are conveniently written in terms of a new radial coordinate

$$x \equiv e^{-\rho/2}. \quad (2.7)$$

We find

$$\begin{aligned} A &= \xi - \ln x - x^2 \left(e^{-2\xi} + \frac{1}{3} \chi_{00}^2 \right) + x^4 \left[\frac{1}{9} \chi_{00}^4 - \frac{1}{2} e^{-4\xi} \right. \\ &\quad \left. + \frac{1}{6} \chi_{00}^2 e^{-2\xi} - \frac{1}{2} \chi_{00}^2 \chi_{10} - \rho_{10}^2 - \frac{1}{8} \rho_{11}^2 \right. \\ &\quad \left. - \left(2\chi_{00}^2 e^{-2\xi} + \frac{2}{3} \chi_{00}^4 + 2\rho_{10}\rho_{11} \right) \ln x - \rho_{11} \ln^2 x \right] \\ &\quad + \mathcal{O}(x^6 \ln^3 x), \end{aligned} \quad (2.8)$$

$$\begin{aligned} e^\alpha &= 1 + x^2 (\rho_{10} + \rho_{11} \ln x) + x^4 \left[\frac{1}{3} \chi_{00}^4 + \frac{3}{2} \rho_{10}^2 \right. \\ &\quad \left. - 2\rho_{10}\rho_{11} + \frac{3}{2} \rho_{11}^2 + \frac{2}{3} \chi_{00}^2 (5\rho_{10} - 4\rho_{11}) \right. \\ &\quad \left. + 2e^{-2\xi} (2\rho_{10} - \rho_{11}) + \left(\frac{10}{3} \chi_{00}^2 \rho_{11} + 3\rho_{10}\rho_{11} \right. \right. \\ &\quad \left. \left. - 2\rho_{11}^2 + 4\rho_{11} e^{-2\xi} \right) \ln x + \frac{3}{2} \rho_{11}^2 \ln^2 x \right] + \mathcal{O}(x^6 \ln^3 x), \end{aligned} \quad (2.9)$$

$$\begin{aligned} \chi &= \chi_{00} x \left(1 + x^2 \left[\chi_{10} + \left(\frac{4}{3} \chi_{00}^2 + 4e^{-2\xi} \right) \ln x \right] \right) \\ &\quad + \mathcal{O}(x^5 \ln^2 x), \end{aligned} \quad (2.10)$$

where $\{\xi, \chi_{00}, \chi_{10}, \rho_{10}, \rho_{11}\}$ are parameters characterizing the ultraviolet asymptotics, and are functions of the infrared data $\{\rho_0, \chi_0\}$. As explained in [40], ρ_{11} (χ_{00}) should be identified with the mass m_b^2 (m_f) of the bosonic (fermionic) components of the $\mathcal{N} = 2$ hypermultiplet. Two more parameters ρ_{10}, χ_{10} are related to the bosonic and fermionic bilinear condensates correspondingly. Finally, ξ is a residual integration constant associated with fixing the radial coordinate—it can be removed at the expense of shifting the origin of the radial coordinate ρ , or rescaling x . As the origin of the radial coordinate is “fixed” in specifying the infrared boundary conditions (2.6), $\xi \equiv \xi(\rho_0, \chi_0)$.

The complete ten-dimensional lift of the RG flow (2.5) was presented in [39], and the $D3$ brane probe dynamics in the resulting 10D geometry was studied in [19]. Identifying the inflaton with the radial motion of the probe brane in this background geometry, the inflaton mass m_ϕ^2 was found to be

$$m_\phi^2 = 2 + \left[\frac{2}{3} e^{2\xi} \chi_{00}^2 \right] + \left[e^{2\xi} \rho_{11} \left(\frac{3}{2} \cos^2 \theta - 1 \right) \right], \quad (2.11)$$

thus leading to inflationary slow-roll parameter

$$\eta = \frac{2}{3} + \left[\frac{2}{9} e^{2\xi} \chi_{00}^2 \right] + \left[\frac{1}{3} e^{2\xi} \rho_{11} \left(\frac{3}{2} \cos^2 \theta - 1 \right) \right]. \quad (2.12)$$

Few comments about (2.11) and (2.12) are in order.

- (i) Inflaton mass depends on one of the angles (θ) of the squashed S^5 in the ten-dimensional background [19];
- (ii) Turning off the mass deformation (setting $\rho_0 = \chi_0 = 0$) gives rise to $m_\phi^2 = 2$, which is the effective mass of the conformally coupled scalar of the $\mathcal{N} = 4$ vector multiplet;
- (iii) Turning on mass to the fermionic components of the $\mathcal{N} = 2$ hypermultiplet always raises the inflaton mass. Thus the slow-roll inflation is most effectively generated with $\chi_{00} = 0$. Actually, $\chi(\rho) \equiv 0$ is an exact solution of (2.5), which we restrict to from now on;
- (iv) Recall that bosonic mass deformation parameter $\rho_{11} \propto m_b^2$. In principle, in the supergravity solution ρ_{11} can be either positive or negative. However, without a stabilizing effect of the gauge theory background curvature [setting $H \rightarrow 0$ or removing "2" in (2.11)], $\rho_{11} < 0$ would lead to the supergravity background instabilities associated with unboundedness of the probe brane potential close to the boundary. This is a reflection of the dual gauge theory instabilities corresponding to $m_b^2 < 0$. Once $H \neq 0$, sufficiently small negative m_b^2 will not destabilize the background:

$$0 \geq e^{2\xi} \rho_{11} \geq -4. \quad (2.13)$$

In the regime (2.13), a $D3$ probe would tend to move in the $\cos^2 \theta = 1$ "valley," where its potential energy is locally minimized, leading to a slow-roll parameter $\eta \equiv \eta_-$ (2.12)

$$\eta_- = \frac{2}{3} + \frac{1}{6} e^{2\xi} \rho_{11}, \quad \eta_- \in \left[0, \frac{2}{3} \right]. \quad (2.14)$$

In the case of $\rho_{11} > 0$ (a positive m_b^2), the $D3$ probe brane potential energy is minimum in the $\cos \theta = 0$ valley.⁷ Here background stability against spontaneous

$D3\overline{D3}$ -pair production constrains

$$2 \geq e^{2\xi} \rho_{11} \geq 0, \quad (2.15)$$

leading to a slow-roll parameter $\eta \equiv \eta_+$

$$\eta_+ = \frac{2}{3} - \frac{1}{3} e^{2\xi} \rho_{11}, \quad \eta_+ \in \left[0, \frac{2}{3} \right]. \quad (2.16)$$

B. Slow-roll inflation

We now map numerically the phase space of the $D3$ inflation in de Sitter deformed $\mathcal{N} = 2^*$ throat reviewed in the previous section. The procedure is to numerically integrate (2.5) from the infrared ($\rho = 0$) (2.6) to the ultraviolet (2.8) and (2.9) ($\rho \rightarrow \infty$), and, given⁸ $\{\rho_0\}$ in the IR extract $\{\xi, \rho_{11}\}$ in the UV. Depending on the sign of ρ_{11} , we can use (2.14) and (2.16) to determine η_\pm . Though simple to state, the problem is rather challenging to implement. The reason is the huge exponential asymptotic suppression of the coefficient ρ_{11} , (2.9). Ultimately, we resolved this technical difficulty by reparametrizing $\alpha(\rho)$ as follows

$$e^\alpha \equiv 1 + \frac{B(\rho)}{1 + e^{2A(\rho)}}, \quad (2.17)$$

and rewriting (2.5) in terms of $A(\rho)$ and $B(\rho)$ with $\chi(\rho) \equiv 0$. Notice that such a redefinition implies identification

$$\rho_0 \equiv \lim_{\rho \rightarrow 0} [1 + B(\rho)], \quad e^{2\xi} \rho_{11} \equiv -2 \lim_{\rho \rightarrow \infty} \frac{dB(\rho)}{d\rho}. \quad (2.18)$$

Obviously, since $e^\alpha \geq 0$, $\rho_0 \geq 0$, and thus $B(\rho = 0) \geq -1$.

Results of numerical integration are presented in Figs. 1–3. For $\rho_0 = 1$ we have undeformed AdS_5 throat, leading to a familiar result for the slow-roll parameter $\eta = \frac{2}{3}$. We find that for $0 \leq \rho_0 \leq 1$, $\rho_{11}(\rho_0) \geq 0$, thus the corresponding slow-roll parameter is $\eta \equiv \eta_+$, defined by (2.16), Fig. 2. For $1 < \rho_0 \leq \rho_{\text{critical}} \approx 1.2$, we find $\rho_{11}(\rho_0) \leq 0$, thus the corresponding slow-roll parameter is $\eta \equiv \eta_-$, defined by (2.14), Fig. 1. As $\rho_0 > \rho_{\text{critical}}$, the inflaton mass $m_\phi^2 < 0$. In this case rather than moving inside the warped throat (toward the infrared end), the probe brane will move to the boundary, with its potential energy being unbounded from below. If the spatial directions of the probe brane are compactified, then the background will have nonperturbative instability with respect to the spontaneous brane-antibrane creation [41].

⁷For $H = 0$ this submanifold is a moduli space of a $D3$ probe in the PW background [37,38].

⁸We explained in the previous section that the most efficient inflation occurs for $\chi_0 = 0$.

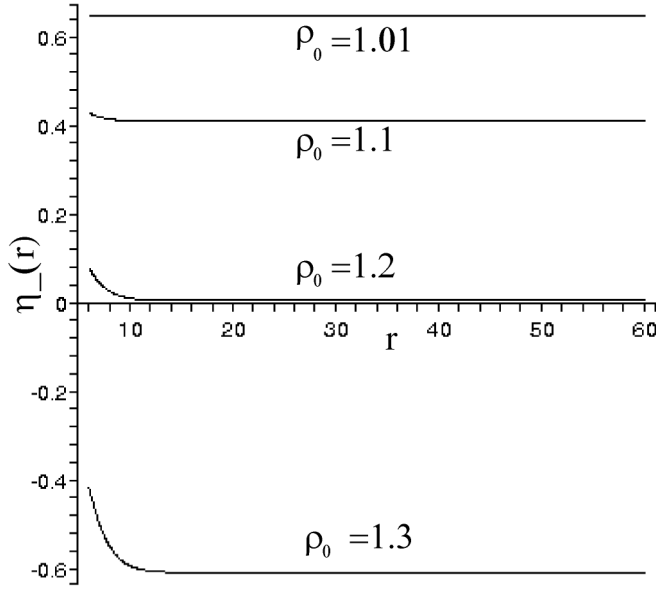


FIG. 1. Numerical analysis of the slow-roll condition in de Sitter deformed $\mathcal{N} = 2^*$ local warped geometries. The $\eta(\rho_0)$ parameter is the large- r asymptotic of the corresponding plot $\eta(r, \rho_0)$. In the regime $\rho_0 > 1$, $\rho_{11}(\rho_0) < 0$. Thus corresponding slow-roll parameter is $\eta \equiv \eta_-$, defined by (2.14). Notice that with $\rho_0 = 1.3$, $\eta_- < 0$, and thus from (2.13), the background is unstable.

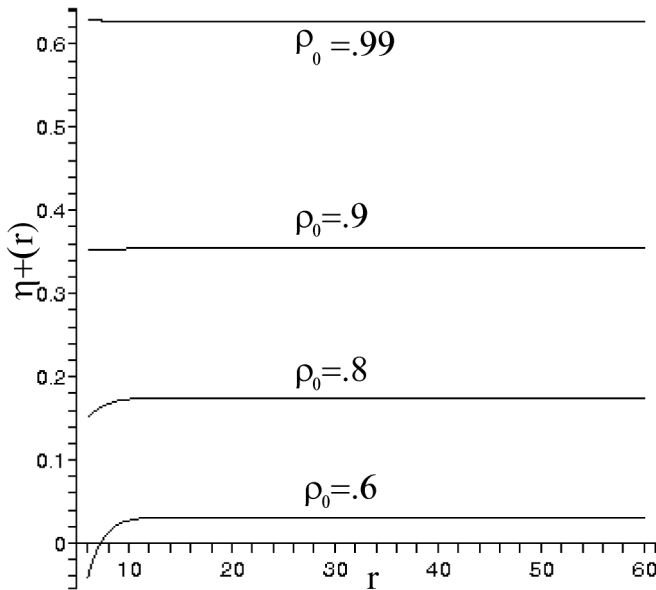


FIG. 2. Numerical analysis of the slow-roll condition in de Sitter deformed $\mathcal{N} = 2^*$ local warped geometries. The $\eta(\rho_0)$ parameter is the large- r asymptotic of the corresponding plot $\eta(r, \rho_0)$. In the regime $0 \leq \rho_0 \leq 1$, $\rho_{11}(\rho_0) \geq 0$. Thus the corresponding slow-roll parameter is $\eta \equiv \eta_+$, defined by (2.16).

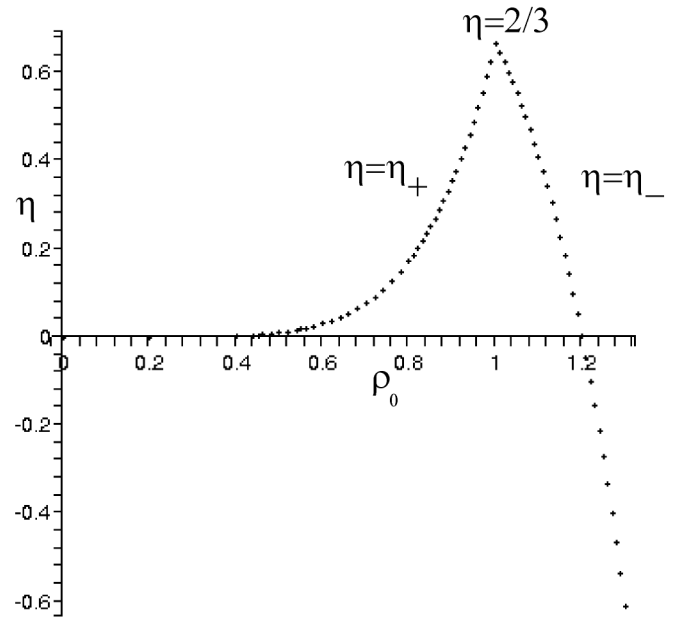


FIG. 3. The slow-roll parameter η as a function of ρ_0 for $D3$ brane inflation in de Sitter deformed $\mathcal{N} = 2^*$ throats. For $\rho_0 > 1$, $\eta \equiv \eta_-$, and $0 \leq \rho_0 \leq 1$, $\eta \equiv \eta_+$. For $\rho_0 \geq \rho_{\text{critical}} \approx 1.2$, we have $\eta_- < 0$, which according to (2.13) implies the instability associated with the presence of a tachyonic mode in the spectrum of the holographically dual gauge theory.

III. INFLATION IN DE SITTER DEFORMED MN BACKGROUND

Typically an inflaton of a brane inflationary scenario in string theory is identified with a scalar coordinate of a 3-brane. This is the case, in particular, for the inflationary model of [7], and the model discussed in the previous section. Since string theory compactification manifold might contain topologically nontrivial cycles, one might wonder whether a more exotic inflationary scenario might be slow roll. Specifically [14], one can imagine inflation realized by a probe Dp brane, for $p > 3$, wrapping a $(p - 3)$ cycle of the compactification manifold. In the following two sections we study inflation from $D5$ branes wrapping a two-cycle of a local de Sitter deformed geometry. We begin with inflation modeled by a $D5$ brane wrapped on a two-cycle of de Sitter deformed MN geometry [22].

After reviewing the construction of the background [23], we study $D5$ probe dynamics. Unfortunately, the slow-roll inflation is not possible in this model. For a canonically normalized inflaton we find

$$\eta_{MN} = \frac{3}{2}. \quad (3.1)$$

A. The background

The de Sitter deformation of the MN supergravity background was constructed and studied in detail in [23]. Here, the string frame metric is

$$ds_{st}^2 = F^2(ds_{\mathcal{M}_4})^2 + n \left[d\rho^2 + G^2 d\Omega_2^2 + \frac{1}{4} \sum_a (\omega_a - A_a)^2 \right], \quad (3.2)$$

where Ω_2^2 is a round S^2 [parametrized by $(\tilde{\theta}, \tilde{\phi})$] which the branes wrap,

$$(ds_{\mathcal{M}_4})^2(x) \equiv -dt^2 + \frac{1}{H^2} \cosh^2 H t d\Omega_3^2, \quad (3.3)$$

and ω_a are the $SU(2)$ left-invariant 1-forms on the S^3 [parametrized by (θ, ϕ, ψ)] transverse to the $NS5$ branes,

$$\begin{aligned} \omega_1 &= \cos\phi d\theta + \sin\phi \sin\theta d\psi, \\ \omega_2 &= -\sin\phi d\theta + \cos\phi \sin\theta d\psi, \\ \omega_3 &= d\phi + \cos\theta d\psi. \end{aligned} \quad (3.4)$$

Also in (3.2), A_a are the $SU(2)_R$ gauge fields on the S^2 realizing the twist,

$$A_1 = ad\tilde{\theta}, \quad A_2 = a \sin\tilde{\theta} d\tilde{\phi}, \quad A_3 = \cos\tilde{\theta} d\tilde{\phi}. \quad (3.5)$$

Finally, there is a dilaton $\Phi = \ln g_s$, and a Neveu-Schwarz–Neveu-Schwarz (NS-NS) 3-form flux

$$\begin{aligned} H_3 &= n \left[-\frac{1}{4} (\omega_1 - A_1) \wedge (\omega_2 - A_2) \wedge (\omega_3 - A_3) \right. \\ &\quad \left. + \frac{1}{4} \sum_a F_a \wedge (\omega_a - A_a) \right], \end{aligned} \quad (3.6)$$

where $F_a = dA_a + \frac{1}{2} \epsilon_{abc} A_b \wedge A_c$. Altogether, the background is parametrized by four functions F, G, a, g_s of the radial coordinate $\rho \in [0, +\infty)$.

With this ansatz, the type IIB supergravity equations of motion for the deformed MN model are reduced to⁹

$$\begin{aligned} 0 &= \left[\frac{a' F^4}{g_s^2} \right]' - \frac{a F^4 (a^2 - 1)}{g_s^2 G^2}, \\ 0 &= \left[\frac{(G^2)' F^4}{g_s^2} \right]' + \frac{F^4}{2g_s^2 G^2} \{ (a^2 - 1)^2 + G^2 [(a')^2 - 4] \}, \\ 0 &= \left[G^2 F^4 \left(\frac{1}{g_s^2} \right)' \right]' - \frac{F^4}{4g_s^2 G^2} \{ (a^2 - 1)^2 \\ &\quad + 2G^2 [8G^2 + (a')^2] \}, \\ 0 &= \left[\frac{(F^4)' G^2}{g_s^2} \right]' - \frac{12nH^2 F^2 G^2}{g_s^2}. \end{aligned} \quad (3.7)$$

There is also a first order constraint coming from fixing

⁹The prime denotes derivative with respect to ρ .

the reparametrization invariance (the choice of ρ),

$$\begin{aligned} 0 &= F^2 \{ 2G^2 [8G^2 (g_s')^2 + 4g_s^2 (G')^2 - 4(G^2)' (g_s^2)'] \\ &\quad - g_s^2 (a')^2 \} + g_s^2 [(a^2 - 1)^2 - 8G^2 (1 + 2G^2)] \\ &\quad + 16g_s G^3 \left\{ 3g_s G [(F')^2 - nH^2] + 2(F')^2 g_s^2 \left(\frac{G}{g_s} \right)' \right\}. \end{aligned} \quad (3.8)$$

B. Probe dynamics

We will study $D5$ probe dynamics, where the probe brane extends in four de Sitter directions, and wraps the Ω_2 directions. For this we would need to go to S-dual geometry, and compute the pullback of the RR $C_{(6)}$ to the brane worldvolume. Note that, performing S-duality we find

$$\begin{aligned} g_{st}^{D5} &= \frac{1}{g_s}, & ds_{st}^2(D5) &= g_s^{-1} ds_{st}^2(NS5), \\ C_{(2)} &= -B, & C_{(6)} &= -\tilde{B}, \end{aligned} \quad (3.9)$$

where $ds_{st}^2(NS5)$ is the string frame metric (3.2), and

$$d\tilde{B} = g_s^{-2} \star H_3, \quad (3.10)$$

where the Hodge dual is taken in $NS5$ metric (3.2). Explicitly, we find

$$\begin{aligned} \tilde{B} &= vol_{\mathcal{M}_4} \wedge \left[\mathcal{V}_1(\rho) \sin\tilde{\theta} d(\tilde{\theta}) \wedge d(\tilde{\phi}) \right. \\ &\quad \left. + \mathcal{V}_2(\rho) \sin\theta d(\psi) \wedge d(\theta) + \frac{nF^4 a'}{8g_s^2} \mathcal{O}_2 \right], \end{aligned} \quad (3.11)$$

where $vol_{\mathcal{M}_4}$ is the volume form on \mathcal{M}_4 (3.3), and

$$\begin{aligned} \mathcal{V}_1' &= \frac{nF^4 (a^4 - a^2 - 16G^4)}{8g_s^2 G^2}, & \mathcal{V}_2' &= \frac{nF^4 (1 - a^2)}{8g_s^2 G^2}, \\ \mathcal{O}_2 &= \sin\tilde{\theta} \sin\theta \sin\phi d(\tilde{\phi}) \wedge d(\psi) + \sin\theta \cos\phi d(\psi) \wedge d(\tilde{\theta}) \\ &\quad + \sin\tilde{\theta} \cos\phi d(\tilde{\phi}) \wedge d(\theta) + \sin\phi d(\tilde{\theta}) \wedge d(\theta), \end{aligned} \quad (3.12)$$

where again, primes denote derivatives with respect to ρ . Notice that only the first term in (3.11) survives the pullback to the worldvolume of the $D5$ probe.

Consider a $D5$ probe with the worldvolume $\mathcal{M}_4 \times S^2$, where S^2 is parametrized by $(\tilde{\theta}, \tilde{\phi})$ in (3.2). The probe brane action reads [42]

$$S_{D5} = -\mu_5 \int_{\mathcal{M}_4 \times S^2} d^6 \xi \frac{1}{g_{D5}} \sqrt{-g_{D5}} + \mu_5 \int_{\mathcal{M}_4 \times S^2} C_{(6)}, \quad (3.13)$$

where g_{D5} is the pullback of the $ds_{st}(D5)$ (3.9) to the probe brane worldvolume, and $C_{(6)}$ is given by (3.9), (3.10), (3.11), and (3.12). For a slowly moving probe in $\rho \equiv \rho(\mathcal{M}_4)$ direction, localized at a point in S^3 , we find the effective action S_ρ

$$S_\rho = \int_{\mathcal{M}_4} d^4x \sqrt{-g_{\mathcal{M}_4}} \left[-\frac{n^2(4G^2 + a^2)F^2}{8g_s^2} 4\pi\mu_5 E\left(\sqrt{1 - \frac{1}{4G^2 + a^2}}\right) \partial_\mu \rho \partial^\mu \rho - \mathcal{V}(\rho) \right], \quad (3.14)$$

where

$$\mathcal{V}(\rho) = 4\pi\mu_5 \left[\frac{n(4G^2 + a^2)F^4}{4g_s^2} E\left(\sqrt{1 - \frac{1}{4G^2 + a^2}}\right) + \mathcal{V}_1 \right], \quad (3.15)$$

and the complete elliptic integral is defined as follows

$$E(x) \equiv \int_0^1 \sqrt{\frac{1-x^2t^2}{1-t^2}} dt. \quad (3.16)$$

In what follows we use canonically normalized inflaton $\rho \rightarrow \Phi$

$$4\pi\mu_5 \frac{n^2(4G^2 + a^2)F^2}{4g_s^2} E\left(\sqrt{1 - \frac{1}{4G^2 + a^2}}\right) \partial_\mu \rho \partial^\mu \rho \equiv \partial_\mu \Phi \partial^\mu \Phi, \quad (3.17)$$

leading to

$$S_\Phi = \int_{\mathcal{M}_4} d^4x \sqrt{-g_{\mathcal{M}_4}} \left[-\frac{1}{2} \partial_\mu \Phi \partial^\mu \Phi - \mathcal{V}(\Phi) \right]. \quad (3.18)$$

Asymptotic $\rho \rightarrow \infty$ solution of (3.7) was given in [23]

$$\begin{aligned} F &= (3nH^2\rho)^{1/2} + \dots, & G^2 &= \rho + \dots, \\ g_s &= g_0(\rho^{3/4}e^{-\rho} + \dots), \\ a &= Y\rho^{-1/2}(1 + \dots) + C\rho^{1/2}e^{-2\rho}(1 + \dots), \end{aligned} \quad (3.19)$$

where \dots denote corrections which are subdominant as $\rho \rightarrow \infty$. Given (3.19), and the normalization (3.17), we find

$$\mathcal{V}(\Phi) = \frac{9}{4} H^2 \Phi^2 [1 + \mathcal{O}(\ln^{-1} \Phi)], \quad (3.20)$$

which leads to a slow-roll parameter reported in (3.1). We conclude that the slow-roll inflation is not possible in this model.

IV. DE SITTER DEFORMED GKMW BACKGROUND

Our next example of a wrapped braneworld inflationary model is represented by a $D5$ probe brane moving in a de Sitter deformed local warped throat geometry of GKMW [24]. In the absence of the deformation, $H = 0$, GKMW and MN [22] models differ by the supersymmetry preserving twist for a 5-brane wrapping a two-cycle of the resolved conifold. Apparently, this difference is not enough to overcome the large- η problem. Here we find

$$\eta_{GKMW} \geq 1. \quad (4.1)$$

We begin with constructing de Sitter deformation of the background [24]. We then study the $D5$ probe brane dynamics, phases of the background geometry, and the slow-roll condition.

A. The background

The supergravity background corresponding to $NS5$ branes wrapped on S^2 with $\mathcal{N} = 2$ supersymmetry in four dimensions has been constructed in [24]. In this section we study de Sitter deformations of this geometry. Following [24] we construct deformed solution in $D = 7$ $SO(4)$ gauged supergravity, and then further uplift it to ten dimensions using [43].

The effective Lagrangian of the relevant $D = 7$ gauged supergravity reads [24]

$$\begin{aligned} \mathcal{L} = \sqrt{-g} & \left(R - \frac{5}{16} \partial_\mu y \partial^\mu y - \partial_\mu x \partial^\mu x \right. \\ & \left. - \frac{1}{4} e^{-2x-y/2} F_{\mu\nu}^{(2)} F^{(2)\mu\nu} + 4g^2 e^{y/2} \right), \end{aligned} \quad (4.2)$$

where x, y are scalar fields, and $F_{\mu\nu}^{(2)}$ is a field strength of the $U(1) \subset SO(4)$ gauge fields. For the metric and the gauge field we choose

$$\begin{aligned} ds_7^2 &= e^{2f} [F^2 (ds_{\mathcal{M}_4})^2 + d\rho^2] + a^2 d\Omega_2^2, \\ F^{(2)} &= \frac{1}{g} \text{vol}_{\Omega_2}, \end{aligned} \quad (4.3)$$

where $(ds_{\mathcal{M}_4})^2$ is given by (3.3), and a, f, F, x, y are functions of a radial coordinate ρ only.

We obtain the following equations of motion

$$x'' + \left(3f' + 2\frac{a'}{a} + 4\frac{F'}{F}\right)x' = -\frac{1}{2g^2a^4}e^{2f-2x-y/2}, \quad (4.4)$$

$$y'' + \left(3f' + 2\frac{a'}{a} + 4\frac{F'}{F}\right)y' = -\frac{1}{5}e^{2f}\left(\frac{2}{g^2a^4}e^{-2x-y/2} + 16g^2e^{y/2}\right), \quad (4.5)$$

$$(f + \ln F)'' + \left[3f' + 4(\ln F)' + 2\frac{a'}{a}\right](f + \ln F)' - \frac{3H^2}{F^2} = \frac{1}{10}e^{2f}\left(\frac{1}{g^2a^4}e^{-2x-y/2} + 8g^2e^{y/2}\right), \quad (4.6)$$

$$4(f + \ln F)'' + 2\frac{a''}{a} - 2\frac{a'}{a}(f + \ln F)' + 2(\ln F)'\left[\frac{a'}{a} + 2(f + \ln F)'\right] = \frac{1}{10}e^{2f}\left(\frac{1}{g^2a^4}e^{-2x-y/2} + 8g^2e^{y/2}\right) - \frac{5}{16}(y')^2 - (x')^2, \quad (4.7)$$

$$\frac{a''}{a} + 3\frac{a'}{a}f' + \frac{(a')^2}{a^2} + 4\frac{a'}{a}(\ln F)' = e^{2f}\left(\frac{1}{a^2} - \frac{2}{5g^2a^4}e^{-2x-y/2} + \frac{4}{5}g^2e^{y/2}\right), \quad (4.8)$$

$$0 = 6a^2f'(F')' + 6a^2(F')^2 + 6a^2(f')^2F^2 + 4(a^2)'F^2f' + 2(a^2)'(F^2)' + (a')^2F^2 - 6H^2a^2 \\ - \frac{F^2}{32g^2a^2}[32a^2g^2e^{2f} + 5g^2a^4(y')^2 + 16g^2a^4(x')^2 + 64a^4g^4e^{2f+y/2} - 8e^{2f-2x-y/2}]. \quad (4.9)$$

In (4.4), (4.5), (4.6), (4.7), (4.8), and (4.9) primes denote derivatives with respect to ρ . With $H = 0$, $F \equiv 1$ above equations are the same as in [24]. We explicitly verified that though overdetermined, (4.4), (4.5), (4.6), (4.7), and (4.8) are self-consistent even with $H \neq 0$. As in [24] we can solve for y with

$$y = -4f. \quad (4.10)$$

Consistent Kaluza-Klein reductions on spheres developed in [43] does not rely on supersymmetry. Thus using formula of [43], we can uplift the $D = 7$ solution constructed above to a full ten-dimensional solution. We find

$$ds_{st}^2 = F^2(ds_{\mathcal{M}_4})^2 + d\rho^2 + a^2e^{-2f}d\Omega_2^2 + \frac{1}{g^2}d\theta^2 \\ + \frac{e^{-x}\cos^2\theta}{g^2\Omega}(d\phi_1 + \cos\tilde{\theta}d\tilde{\phi})^2 + \frac{e^x\sin^2\theta}{g^2\Omega}d\phi_2^2, \quad (4.11)$$

$$g_s^{-2} = e^{5f}\Omega, \quad (4.12)$$

$$H_3 = \frac{2\sin\theta\cos\theta}{g^2\Omega^2}(\sin\theta\cos\theta dx - d\theta) \wedge (d\phi_1 \\ + \cos\tilde{\theta}d\tilde{\phi}) \wedge d\phi_2 + \frac{e^{-x}\sin^2\theta}{g^2\Omega}\sin\tilde{\theta}d\tilde{\theta} \wedge d\tilde{\phi} \wedge d\phi_2, \quad (4.13)$$

where the metric is given in the string frame, $\{\theta, \phi_1, \phi_2\}$ are coordinates parametrizing squashed and twisted S^3 transverse to wrapped $NS5$ branes, and

$$\Omega \equiv e^x\cos^2\theta + e^{-x}\sin^2\theta. \quad (4.14)$$

We explicitly verified that for the background (4.11), (4.12), and (4.13) ten-dimensional type IIB supergravity equations of motion reduce to (4.4), (4.5), (4.6), (4.7), and (4.8).

B. Probe dynamics

The computations here parallel those of Sec. III B. For the 6-form potential Hodge dual to the NS-NS 3-form flux (4.13) we find

$$\tilde{B} = vol_{\mathcal{M}_4} \wedge \{[\mathcal{V}_1(\rho) + \mathcal{V}_2(\rho)\cos^2\theta]\sin\tilde{\theta}d(\tilde{\theta}) \wedge d(\tilde{\phi}) \\ + \mathcal{V}_3(\rho)\cos^2\theta\cos\tilde{\theta}d(\rho) \wedge d(\tilde{\phi}) \\ + \mathcal{V}_4(\rho)\sin^2\theta d(\rho) \wedge d(\phi_1)\} \\ = vol_{\mathcal{M}_4} \wedge \{[\mathcal{V}_1(\rho) + \mathcal{V}_2(\rho)\cos^2\theta]\sin\tilde{\theta}d(\tilde{\theta}) \wedge d(\tilde{\phi}) \\ + \mathcal{V}_3(\rho)\cos^2\theta d(\rho) \wedge [\cos\tilde{\theta}d(\tilde{\phi}) + d(\phi_1)]\}, \quad (4.15)$$

where $vol_{\mathcal{M}_4}$ is the volume form on \mathcal{M}_4 (3.3), and

$$\mathcal{V}_1' = -2a^2gF^4e^{3f}, \quad \mathcal{V}_2 = \frac{F^4e^{3f}a^2x'}{g}, \\ \mathcal{V}_3 = \frac{F^4e^{7f-2x}}{2g^3a^2}, \quad \mathcal{V}_4 = -\frac{F^4e^{7f-2x}}{2g^3a^2}. \quad (4.16)$$

The second equality in (4.15) is valid up to gauge transformations $\tilde{B} \sim \tilde{B} + d\mathcal{A}$.

As before, we consider a $D5$ probe brane with the worldvolume $\mathcal{M}_4 \times S^2$. For a slowly moving probe in $\rho \equiv \rho(\mathcal{M}_4)$ direction, localized at a point in S^3 , we find the effective action

$$S_\rho = \mu_5 \int_{\mathcal{M}_4 \times S^2} d^4x \sin\tilde{\theta} d\tilde{\theta} d\tilde{\phi} \sqrt{-g_{\mathcal{M}_4}} \left[-\frac{1}{2} \mathcal{K}_\rho(\rho) \partial_\mu \rho \partial^\mu \rho - \mathcal{V}(\rho) \right], \quad (4.17)$$

where

$$\mathcal{K}_\rho = F^2 a^2 e^{3f} \Omega \left(1 + \frac{e^{2f} \cos^2 \theta}{g^2 e^x \Omega a^2 \tan^2 \tilde{\theta}} \right)^{1/2}, \quad \mathcal{V} = F^4 a^2 e^{3f} \Omega \left(1 + \frac{e^{2f} \cos^2 \theta}{g^2 e^x \Omega a^2 \tan^2 \tilde{\theta}} \right)^{1/2} + \mathcal{V}_1(\rho) + \mathcal{V}_2(\rho) \cos^2 \theta. \quad (4.18)$$

As a check, we compare with the $\mathcal{N} = 2$ supersymmetric flow [24]. Here we have

$$F = 1, \quad H = 0, \quad \frac{d\mathcal{V}_1}{dz} = -2g^2 z e^{2g^2 z}, \quad \frac{dz}{d\rho} = \frac{1}{g e^x}, \quad \mathcal{V}_2 = -\frac{z e^{2g^2 z}}{2g^2} \frac{d}{dz} [e^{-2x}], \quad a^2 e^{3f+x} = z e^{2g^2 z},$$

$$a^2 e^{-2f} = z, \quad e^{-2x} = 1 - \frac{1 + k e^{-2g^2 z}}{2g^2 z}, \quad (4.19)$$

leading to a moduli space $\cos\theta = 0$ (for all values of the parameter k), and the kinetic term for ρ on the moduli space

$$-\frac{1}{2} a^2 e^{3f-x} \partial_\mu \rho \partial^\mu \rho = -\frac{1}{2} g^2 z e^{2g^2 z} \partial_\mu z \partial^\mu z, \quad (4.20)$$

in agreement with [24]. Four-dimensional effective action from S_ρ (4.17) reads

$$S_\rho = \int_{\mathcal{M}_4} d^4x \sqrt{-g_{\mathcal{M}_4}} \left[-\frac{a^2 F^2 e^{3f} \Omega}{2} 4\pi\mu_5 E \left(\sqrt{1 - \frac{e^{2f} \cos^2 \theta}{g^2 a^2 e^x \Omega}} \right) \partial_\mu \rho \partial^\mu \rho - \mathcal{V}(\rho) \right], \quad (4.21)$$

where

$$\mathcal{V}(\rho) = 4\pi\mu_5 \left[F^4 a^2 e^{3f} \Omega E \left(\sqrt{1 - \frac{e^{2f} \cos^2 \theta}{g^2 a^2 e^x \Omega}} \right) + \mathcal{V}_1(\rho) + \mathcal{V}_2(\rho) \cos^2 \theta \right]. \quad (4.22)$$

Canonical normalization of the inflaton field is achieved with $\rho \rightarrow \Phi$

$$4\pi\mu_5 a^2 F^2 e^{3f} \Omega E \left(\sqrt{1 - \frac{e^{2f} \cos^2 \theta}{g^2 a^2 e^x \Omega}} \right) \partial_\mu \rho \partial^\mu \rho \equiv \partial_\mu \Phi \partial^\mu \Phi. \quad (4.23)$$

In the following section we study asymptotics of the (4.4), (4.5), (4.6), (4.7), (4.8), and (4.9) that would allow the computation of the slow-roll parameter η for the model (4.22) and (4.23).

C. Asymptotics and a phase transition

In this section we discuss different classes of solutions of (4.4), (4.5), (4.6), (4.7), (4.8), and (4.9). There are two topologically distinct classes of solutions of above equations:

$$\begin{aligned} (a): \quad & F \rightarrow F_0, \quad a^2 e^{-2f} \rightarrow z_0, \quad e^{-2x} \rightarrow 0, \quad \text{as} \quad \rho \rightarrow 0, \\ (b): \quad & F \rightarrow 0, \quad a^2 e^{-2f} \rightarrow z_0, \quad e^{-2x} \rightarrow k_0, \quad \text{as} \quad \rho \rightarrow 0, \end{aligned} \quad (4.24)$$

where all constants $\{F_0, z_0, k_0\}$ are positive. What is the physical meaning of different infrared boundary conditions? The GKMW model represents a supergravity dual to $d = 6$ $SU(N)$ $\mathcal{N} = 2$ supersymmetric Yang-Mills (SYM) theory compactified (with an appropriate twist) on S^2 . The “twist” preserves half of the original supersymmetries, so that in the infrared we have $\mathcal{N} = 2$ $SU(N)$ SYM in four dimensions. The scale of the compactification (up to a numerical factor) coincides with the

strong coupling scale Λ of the four-dimensional gauge theory. As common in gauge/string duality constructions with reduced supersymmetry, decoupling the compactification scale and the scale of the strong coupling dynamics requires to go beyond the regime of validity of the supergravity approximation. Once we formulate such a gauge theory on dS_4 (or Euclidean S^4), the background spacetime curvature (the Hubble parameter H) introduces a new infrared cutoff. One would

expect now two different dynamical regimes in the gauge theory

$$\begin{aligned} (a): \quad & \Lambda \gg H, \\ (b): \quad & \Lambda \ll H. \end{aligned} \quad (4.25)$$

The gauge theory regimes in (4.25) are in direct correspondence with the supergravity IR boundary conditions¹⁰ (4.24). From (4.11), notice that on the supergravity side in the case (a) the Euclidean gauge theory S^4 is noncontractible, while an $S^1 \subset S^3$ parametrized by ϕ_1 shrinks to zero size. In the case (b), the (Euclidean) gauge theory S^4 shrinks to zero size, while the squashed and twisted S^3 transverse to the 5-branes remains noncontractible. On the supergravity side we explicitly demonstrate that as the compactification scale decreases with H kept constant, the system undergoes a phase transition. The physics of this transition is not clear to us. We hope to return to this problem in the future.

Let us introduce a new radial coordinate as

$$r \equiv g\rho, \quad (4.26)$$

and

$$\begin{aligned} z(r) &\equiv ga(r)e^{-f(r)}, & f_1(r) &\equiv e^{-2\alpha(r)}, \\ F(r) &\equiv \frac{H}{g}G(r), \end{aligned} \quad (4.27)$$

then (4.4), (4.5), (4.6), (4.7), (4.8), and (4.9) are equivalent to

$$0 = [(G^4)'e^{5f}z^2]' - 12G^2e^{5f}z^2, \quad (4.28)$$

$$0 = [(e^{5f})'z^2G^4]' - \frac{G^4e^{5f}(f_1 + 8z^4)}{2z^2}, \quad (4.29)$$

$$0 = [(\ln f_1)'e^{5f}z^2G^4]' - \frac{f_1G^4e^{5f}}{z^2}, \quad (4.30)$$

$$0 = [(z^2)'e^{5f}G^4]' + \frac{G^4e^{5f}(f_1 - 2z^2)}{z^2}, \quad (4.31)$$

$$\begin{aligned} 0 = & 100z^2G^2f_1'^2 - z^2G^2(f_1')^2 + 8G^2f_1'(z')^2 \\ & + 48z^2f_1'^2(G')^2 + 16zGf_1'(10zf'G' + 5Gf'z') \\ & + 4z'G') + 2\frac{f_1^2(f_1G^2 - 4z^2G^2 - 8G^2z^4 - 24z^4)}{z^2}, \end{aligned} \quad (4.32)$$

where prime denote derivative with respect to r as defined by (4.26).

¹⁰This correspondence is established by noticing that in case (a) of (4.25), the limit $H \rightarrow 0$ must be smooth. The same phenomena occurs in the related model, de Sitter deformation of the MN background [23].

I. Case (a)

Corresponding to case (a) in (4.24), the power series solution in the infrared is

$$\begin{aligned} z &= z_0 + \frac{1}{4z_0}r^2 + \mathcal{O}(r^4), \\ f_1 &= k_0r^2 \left[1 - \frac{(2z_0^2g_0^2 + 6z_0^2 + g_0^2)r^2}{3z_0^2g_0^2} + \mathcal{O}(r^4) \right], \\ f &= \frac{1}{5} \ln(h_0) + \frac{1}{5} \ln(r) + \frac{(4z_0^2g_0^2 - 6z_0^2 - g_0^2)r^2}{30z_0^2g_0^2} + \mathcal{O}(r^4), \\ G &= g_0 + \frac{3}{4g_0}r^2 + \mathcal{O}(r^4), \end{aligned} \quad (4.33)$$

where $\{z_0, k_0, g_0\}$ are positive integration constants characterizing the “size” of the wrapped S^2 in the infrared, the “size” of the $S^1 \subset S^3$ parametrized by ϕ_1 , in addition g_0 characterizes the “size” of de Sitter space; h_0 is a trivial modulus corresponding to the value of the dilaton (4.12) in the infrared. Without loss of generality we will set $h_0 = 1$, which leaves us with the three-dimensional parameter space of initial conditions: $\{z_0, k_0, g_0\}$.

Numerically we observe that given $\{z_0, g_0\}$ there is a critical value $k_{\text{critical}} = k_{\text{critical}}(z_0, g_0)$, such that for $0 <$

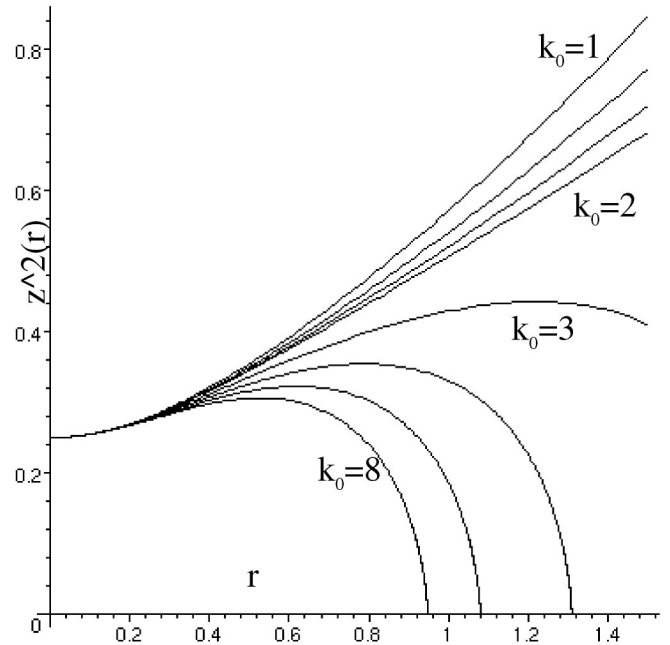


FIG. 4. De-Sitter deformed GKMW solution exhibits an interesting phase transition, as one varies scales of the geometry relative to the four-dimensional Hubble parameter H . A typical evolution of the S^2 size, $z(r)^2$, wrapped by the 5-branes. Here we choose infrared boundary conditions (4.33), with $g_0 = 1$, and $z(r=0) \equiv z_0 = 0.5$. Notice that for $k_0 \geq 3$ the S^2 collapses at finite r . One can verify that this results in a naked timelike singularity of the background geometry. For $k_0 \leq 2$, background geometry is smooth, and the asymptotics are determined by (4.34).

$k_0 < k_{\text{critical}}$ the supergravity solution (4.11) is singularity-free, while for $k_0 > k_{\text{critical}}$ the background geometry has a naked timelike singularity. This singularity is associated with collapsing of the S^2 wrapped by the 5-branes at finite value of the radial coordinate r . A typical evolution of $z(r)^2$ for a given set of initial parameters $\{z_0, k_0, g_0\}$ in (4.33) is shown in Fig. 4.

Nonsingular solutions behave asymptotically, $r \gg 1$, as

$$\begin{aligned} G^2 &\rightarrow 3r - \frac{3}{4} \ln r, & z^2 &\rightarrow r + \left(-\frac{1}{4} - \frac{k_\infty}{2}\right) \ln r, \\ f &\rightarrow \frac{2}{5}r - \frac{3}{10} \ln r, & f_1 &\rightarrow k_\infty - \frac{k_\infty^2}{2} \frac{1}{r}, \end{aligned} \quad (4.34)$$

where $k_\infty \equiv k_\infty(k_0, z_0)$ depends on the infrared data. We verified (4.34) both analytically and, by extracting rele-

vant asymptotics, numerically. Generically $k_\infty \neq 1$, which implies that asymptotically the S^3 transverse to $NS5$ branes remains squashed. It is somewhat surprising that the infrared deformation of the theory has such a profound effect on its ultraviolet properties. On the other hand, we have to remember that in the ultraviolet we are dealing with Little String Theory [44,45]. Thus it is conceivable that the observable phenomena is a reflection of the UV/IR mixing in this nonlocal model. This “mixing” clearly deserves further study.

2. Case (b)

Corresponding to case (b) in (4.24), the power series solution in the infrared is

$$\begin{aligned} z &= z_0 + \frac{2z_0^2 - k_0}{20z_0^3} r^2 + \mathcal{O}(r^4), & f_1 &= k_0 + \frac{k_0^2}{10z_0^4} r^2 + \mathcal{O}(r^4), & f &= h_0 + \frac{8z_0^4 + k_0}{100z_0^4} r^2 + \mathcal{O}(r^4), \\ G &= r \left[1 - \frac{4z_0^2 - k_0 + 8z_0^4}{240z_0^4} r^2 + \mathcal{O}(r^4) \right], \end{aligned} \quad (4.35)$$

where $\{z_0, k_0\}$ are positive integration constants characterizing the “size” of the wrapped S^2 in the infrared, the “size” of the $S^1 \subset S^3$ parametrized by ϕ_1 ; h_0 is a trivial modulus corresponding to the value of the dilaton (4.12) in the infrared. Without loss of generality we will set $h_0 = 0$.

Given (4.35), the two classes of solutions of (4.28), (4.29), (4.30), (4.31), and (4.32) differ depending whether $k_0 > k_{\text{critical}}$ or $k_0 < k_{\text{critical}}$, for a certain¹¹ $k_{\text{critical}} = k_{\text{critical}}(z_0)$. In the former case the S^2 which $NS5$ branes wrap starts in the infrared $r = 0$ at a finite radius z_0 , and ultimately collapses to zero size at finite $r = r_{\text{singular}}$, where the background has a naked timelike singularity. For $k_0 < k_{\text{critical}}$ the supergravity flow is nonsingular, moreover as $r \rightarrow \infty$ we find the same asymptotics as in (4.34). Again, generically $k_\infty \neq 1$.

D. Slow roll

Given asymptotics of the nonsingular solution (4.34) (recall that the dimensionless radial coordinate r is given by (4.26)), the probe brane potential (4.22) in terms of a canonically normalized inflaton field Φ (4.23) becomes

$$\mathcal{V}(\Phi) = \frac{3}{2} H^2 \left(1 - \frac{\sqrt{k_\infty}}{\cos^2 \theta + k_\infty \sin^2 \theta} \right) \Phi^2 \left[\ln \left(\frac{\Phi^2 g^6}{\mu_5 H^2} \right) + \mathcal{O}(1) \right], \quad \frac{\Phi^2 g^6}{\mu_5 H^2} \gg 1, \quad (4.36)$$

leading to generically large slow-roll parameter

$$\eta_{GKMW} = \left(1 - \frac{\sqrt{k_\infty}}{\cos^2 \theta + k_\infty \sin^2 \theta} \right) \left[\ln \left(\frac{\Phi^2 g^6}{\mu_5 H^2} \right) + \mathcal{O}(1) \right]. \quad (4.37)$$

Depending on the infrared data of supergravity phases in Secs. IVC 1 and IVC 2, nonsingular solutions can have asymptotically k_∞ either greater or less than 1, see Fig. 5. From (4.36), for $k_\infty > 1$ the probe brane potential is locally minimized for $\sin \theta = 0$, which leads to a potential unbounded from below for large values of Φ . Here,

the probe brane would move toward the boundary, signaling the instability encountered previously in de Sitter deformed $\mathcal{N} = 2^*$ warped throat geometries. For $k_\infty < 1$ the probe brane potential is locally minimized for $\cos \theta = 0$. From (4.37) it appears that fine-tuning $k_\infty \rightarrow 1_-$ would lead to a slow-roll inflation. This is not so, in fact for $k_\infty = 1$ [including the subleading terms in (4.34)] we find

$$\eta_{GKMW} \Big|_{k_\infty \rightarrow 1_-} = 1 + \frac{1}{2} \cos^2 \theta \ln \left[\frac{8e}{\cos^2 \theta} \ln \left(\frac{\Phi^2 g^6}{\mu_5 H^2} \right) + \mathcal{O}(1) \right], \quad (4.38)$$

¹¹From (4.35) it is tempting to speculate that $k_{\text{critical}}(z_0) = 2z_0^2$. Explicit numerical integration shows that $k_{\text{critical}}(z_0) < 2z_0^2$.

resulting in (4.1).

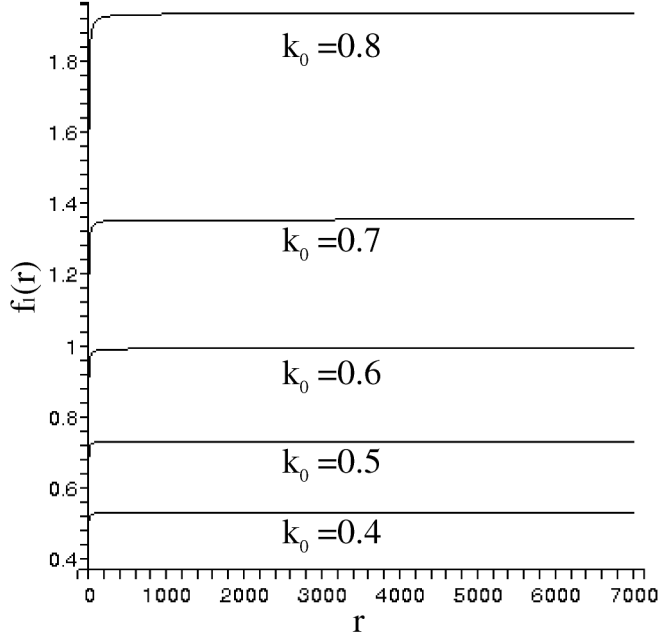


FIG. 5. Large r (ultraviolet) asymptotics of nonsingular solutions of both phases in the de Sitter deformed GKMW background (4.34) are characterized by $k_\infty \equiv \lim_{r \rightarrow \infty} f_1(r)$, where $f_1(r)$ determines the “squashing” of the S^3 transverse to the $NS5$ branes. The phase (b), (4.24), asymptotic behavior of nonsingular solutions, depending on the infrared data $\{k_0, z_0 = 1\}$. Notice that k_∞ can be both larger or less than 1, which leads to a vastly different dynamics of the probe branes (4.36).

V. PHENOMENOLOGY

In this section we discuss phenomenological implications of the mobile brane inflation in de Sitter deformed warped throats of the compactification manifold. Specifically we comment on inflation in KS throat [6], and de Sitter deformed $\mathcal{N} = 2^*$ [36], MN [22], GKMW [24] throats of the compactification manifold.

A. Inflation in KS throat

The effective four-dimensional low-energy description [7] and the detailed probe brane computation of [14] show that the slow-roll inflation is not possible in this simplest setup. Here, the slow roll parameter is $\eta = \frac{2}{3}$. An interesting proposal to circumvent this obstacle was presented in [35], where inflation is realized by a mobile $D3$ brane near the enhanced symmetry point of a compactification manifold with several identical KS throats. One noticeable signature of the model [35] is the generic prediction for the tilt parameter $n < 1$ in the spectrum of density perturbations. Current observational data indicate that for a class of models with $n < 1$ [46,47]

$$n \simeq 0.97. \quad (5.1)$$

We emphasize the constraint (5.1) because in the infla-

tionary models discussed below, though we also would have to resort to a multiple throat geometry, we find $n > 1$. Thus the tilt in the spectrum is a characteristic feature distinguishing our models from the one in [35].

B. Inflation in de Sitter deformed $\mathcal{N} = 2^*$ throat

Slow-roll inflation in the de Sitter deformed $\mathcal{N} = 2^*$ throats was proposed in [19] and studied in detail in Sec. II of this paper. One noticeable difference of this setup compared to inflation in KS throat [7] is the fact that the inflationary throat does not end in the IR: the redshift factor Z at the “bottom” of the inflationary throat is exactly zero. This means that we cannot generate a nonzero four-dimensional Hubble parameter by placing a $\overline{D3}$ at the bottom of the inflationary throat. Indeed, recall that in the de Sitter vacua construction of KKLT [6] $H^2 \sim Z^4$. A simplest resolution is to assume that compactification manifold has (as least) two warped throat geometries: one being an original KKLT throat, while the inflationary one is de Sitter deformed $\mathcal{N} = 2^*$. A cartoon picture of this inflationary scenario is shown in Fig. 6. One might worry whether an “infinite” in the IR $\mathcal{N} = 2^*$ throat can be consistently “glued” into a compactification manifold along with stabilizing the parameters that fix η (see Fig. 3) of the local model. We do not have a definitive answer to this question. What is clear is that the “infinite volume” of the local de Sitter $\mathcal{N} = 2^*$ throat comes from its UV end (as the radial coordinate in (2.4) goes to infinity), which is expected to be cut off very much like a volume of local KS geometry [15] is cut off in the GKP compactification [5]. Thus, having a zero redshift at the bottom of the inflationary throat of this type does not pose an immediate obstacle for the compactification.¹² Also, though $\overline{D3}$ brane at the end of the inflationary throat does not affect H (which is determined by KS throat of the compactification manifold), the exit from inflation might require putting a $\overline{D3}$ brane there anyway. In what follows we assume that a cartoon of Fig. 6 can be realized and study phenomenological implications of inflation in this model.

We assume that compactification manifold size L is large in string units

$$L^6 \gg (\alpha')^3, \quad (5.2)$$

and

$$g_s \ll 1. \quad (5.3)$$

Above conditions validate the use of the supergravity approximation. From the low-energy effective four-dimensional perspective the potential energy driving acceleration is

¹²It is extremely interesting to rigorously establish whether such throats can be compactified.

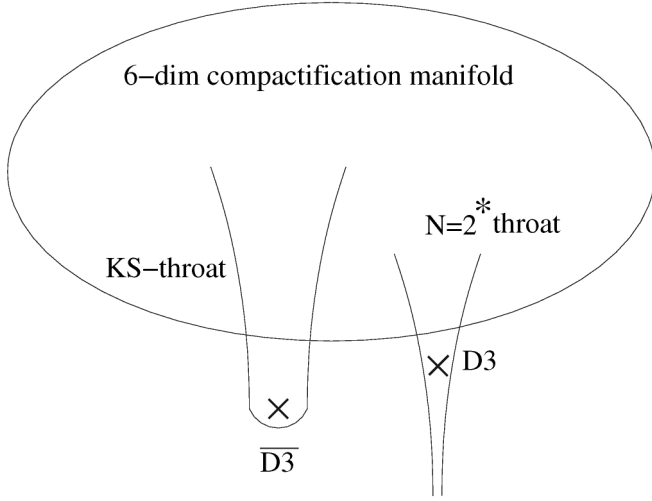


FIG. 6. Inflationary scenario with a mobile $D3$ brane in de Sitter deformed $\mathcal{N} = 2^*$ throat. In addition to $\mathcal{N} = 2^*$ throat, compactification manifold is required to have a KS throat with a $\overline{D3}$ brane at the bottom. The Hubble scale of the four-dimensional de Sitter vacuum is set by the fluxes and the $\overline{D3}$ in the Klebanov-Strassler throat. The slow-roll inflation is realized by a mobile $D3$ brane in $\mathcal{N} = 2^*$ throat.

$$\mathcal{V}_{eff}(\Phi) = 3m_{pl}^2 H^2 + \frac{3}{2} \eta(\rho_0) H^2 \Phi^2 \left[1 + \mathcal{O}\left(\frac{L^4 H^2 T_3}{\Phi^2}\right) \right], \quad (5.4)$$

where the Φ independent term is a cosmological constant of the KS throat, and $\eta(\rho_0)$ is the slow-roll parameter of mobile $D3$ brane in the inflationary throat summarized in Fig. 3. Effective potential \mathcal{V}_{eff} is computed in the approximation for a mobile brane to be far from the IR end of the inflationary throat. The latter approximation is reflected in the condition [14]

$$\frac{L^4 H^2 T_3}{\Phi^2} \ll 1. \quad (5.5)$$

In (5.4) m_{pl} is the four-dimensional Planck constant

$$m_{pl}^2 = m_{10}^8 L^6 \sim (\alpha')^{-4} g_s^{-2} L^6, \quad (5.6)$$

and T_3 is Einstein frame 3-brane tension

$$T_3 \sim (\alpha')^{-2}. \quad (5.7)$$

Finally, the computations for $\eta(\rho_0)$ were done in the local geometry, i.e., the mobile brane should be far from the UV end of the inflationary throat. Relating the brane position inside the throat and inflaton field Φ as in [14,19] this translates into

$$L T_3^{1/2} \gg \Phi. \quad (5.8)$$

Both conditions (5.5) and (5.8) imply

$$HL \ll 1. \quad (5.9)$$

Notice that HL is a characteristic of the KS throat of the compactification manifold.

The inflationary parameters corresponding to \mathcal{V}_{eff} are [48]: slow-roll parameters $\{\eta, \epsilon\}$, the tilt in the spectrum of the density perturbations n , the scale of the adiabatic density perturbations δ_H , the power in the gravity wave perturbations \mathcal{P}_{grav}

$$\eta = m_{pl}^2 \frac{\mathcal{V}_{eff}''}{\mathcal{V}_{eff}'} = \eta(\rho_0), \quad (5.10)$$

$$\epsilon = \frac{1}{2} m_{pl}^2 \left(\frac{\mathcal{V}_{eff}'}{\mathcal{V}_{eff}} \right)^2 = \frac{1}{2} \eta(\rho_0)^2 \left(\frac{\Phi}{m_{pl}} \right)^2, \quad (5.11)$$

$$n = 1 - 6\epsilon + 2\eta = 1 - 3\eta(\rho_0)^2 \left(\frac{\Phi_i}{m_{pl}} \right)^2 + 2\eta(\rho_0), \quad (5.12)$$

$$\delta_H = \frac{1}{\sqrt{75}\pi} \frac{1}{m_{pl}^3} \frac{\mathcal{V}_{eff}^{3/2}}{\mathcal{V}_{eff}'} = \frac{1}{5\pi\eta(\rho_0)} \frac{H}{\Phi_i}, \quad (5.13)$$

$$\mathcal{P}_{grav} = \frac{1}{2\pi^2} \frac{H^2}{m_{pl}^2}, \quad (5.14)$$

where Φ_i is the value of the inflaton field $N_e \approx 60$ e-foldings before the end of inflation.

- (i) The first observation is that Φ_i must satisfy (5.8). Thus, given (5.6) and (5.7) and $\eta(\rho_0) < 1$, we have an upper bound on the slow-roll parameter ϵ

$$\epsilon \ll \eta(\rho_0)^2 \left(\frac{L T_3^{1/2}}{m_{pl}} \right)^2 \sim \left(\frac{\alpha' g_s}{L^2} \right)^2 \ll 1, \quad (5.15)$$

where we used the validity of the supergravity approximation (5.2) and (5.3). This immediately implies that in our model the tilt in the spectrum of perturbations is

$$n \approx 1 + 2\eta(\rho_0) > 1. \quad (5.16)$$

Current observational data for models with $n > 1$ constrain (in a 95% confidence region) [46,47]

$$1 < n < 1.28, \quad (5.17)$$

leading to $0 < \eta < 0.14$. From Fig. 3 there is a large region of the allowed¹³ ρ_0 -parameter space (more than 60%) consistent with this constraint.

- (ii) The slow-roll condition (assuming we adjust $\eta < 0.14$) is valid as long as \mathcal{V}_{eff} (5.4) is justified. With potential (5.4), inflation starting at Φ_{start} and ending at Φ_{end} will produce N_e e-foldings

¹³By the construction of the model.

$$N_e = \frac{1}{\eta(\rho_0)} \ln\left(\frac{\Phi_{\text{start}}}{\Phi_{\text{end}}}\right). \quad (5.18)$$

Taken for Φ_{start} and Φ_{end} the UV (5.8) and IR (5.5) cutoffs of the local inflationary throat, respectively, we find that the maximal number of e-folding in this model is

$$N_e^{\text{max}} \sim -\frac{1}{\eta(\rho_0)} \ln(HL). \quad (5.19)$$

- (iii) To illustrate that phenomenologically viable scenarios are possible let us assume that $\eta = 0.02$ (which is clearly possible from Fig. 3). We take

$$m_{pl} = 2.4 \times 10^{18} \text{ GeV}, \quad (5.20)$$

$$\alpha'^{-1/2} \equiv 1/l_s = 3.5 \times 10^{15} \text{ GeV}.$$

We further assume $L \sim 5l_s$. Given [46]

$$\delta_H = 1.9 \times 10^{-5}, \quad (5.21)$$

we find

$$\frac{H}{\Phi_i} \approx 6.0 \times 10^{-6}. \quad (5.22)$$

Let us assume that inflation starts $\frac{1}{10}$ from the UV cutoff of the throat, $\Phi_i = \frac{1}{10} L T_3^{1/2}$. Then (5.22) leads to a low scale of inflation

$$H \approx 6.7 \times 10^8 \text{ GeV}. \quad (5.23)$$

From (5.19) the maximum number of e-foldings in this model

$$N_e^{\text{max}} \sim 693. \quad (5.24)$$

The power in gravity wave perturbations in this model is

$$\mathcal{P}_{\text{grav}} \approx 4.0 \times 10^{-21}, \quad (5.25)$$

which is much below the level of detection in future experiments.

C. Inflation from wrapped braneworlds

In Secs. III and IV of this paper we considered exotic inflationary models, where a mobile $D5$ brane was wrapping a two-cycle in the inflationary throat. These local inflationary throats are de Sitter deformed MN geometry [23], and the newly constructed de Sitter deformation of the GKMW background [24], respectively. In the former case we found $\eta_{MN} = \frac{3}{2}$, while in the latter $\eta_{GKMW} \geq 1$ (generically $\eta_{GKMW} \gg 1$). Thus in the simplest inflationary scenario advocated here, these models are excluded.

VI. CONCLUSION

In this paper we discussed probe brane dynamics as a tool to study inflation in four-dimensional de Sitter vacua

of string theory warped flux compactifications. This is a fruitful approach to study brane inflation in the framework proposed in [7], where the inflaton field is identified with the mobile brane position deep inside the inflationary throat. In an attempt to find slow-roll single field inflationary models we investigated probe brane dynamics in various local de Sitter deformed warped throat geometries. Specifically, we studied $D3$ probe dynamics in de Sitter deformed $\mathcal{N} = 2^*$ throat [19,39], as well as exotic inflationary models with a $D5$ inflationary brane wrapping a two-cycle of the de Sitter deformed MN geometry [23], or de Sitter deformed GKMW geometry constructed in this paper. While the probe brane dynamics in local geometries cannot address the question of the physics responsible for the generation of the four-dimensional Hubble scale, it has an advantage of being a rigorous analytical tool to probe the dynamics of the effective four-dimensional inflation. We found that “wrapped braneworld inflationary” models based on $D5$ branes wrapping a two-cycle of the resolved conifold cannot lead to slow-roll inflation. On the other hand, inflation from mobile $D3$ branes in de Sitter deformed $\mathcal{N} = 2^*$ throats can be slow roll. Thus, it is interesting to further study the latter scenario.

In view of this, the most outstanding question is understanding the compactification of the de Sitter deformed $\mathcal{N} = 2^*$ throats. A possible phenomenological setup is proposed in Fig. 6. To recapitulate, consider a Calabi-Yau threefold with fluxes generating a KS throat. These fluxes, and the compactification manifold can be chosen in such a way [4,5] that the only remaining modulus would be the overall Kähler modulus of the compactification manifold. The latter can be further fixed by nonperturbative string instanton effects [6]. Further introducing a de Sitter brane at the end of the KS throat can lead to a four-dimensional de Sitter vacuum [6]. A stack of a large number of $D3$ branes away from the KS throat of the compactification manifold would produce additional throat with zero redshift factor at the bottom.¹⁴ At this stage this will be a standard AdS_5 throat with four-dimensional de Sitter slicing and the Hubble scale as produced by the $\overline{D3}$ brane in the KS throat. As such, slow-roll inflation in this “de Sitter deformed”¹⁵ $\mathcal{N} = 4$ throat is yet impossible. Local $\mathcal{N} = 4$ throat ($AdS_5 \times S^5$ background) can be deformed into $\mathcal{N} = 2^*$ throat (supergravity flow of Pilch and Warner [36]) by turning on 3-form fluxes and appropriately deforming the original background geometry. Likewise, local $\mathcal{N} = 4$ throat with four-dimensional de Sitter slicing can be deformed into de Sitter $\mathcal{N} = 2^*$ throat [39]. It is natural to expect that one can turn on an

¹⁴We are assuming that sufficient number of the orientifold planes and/or 3-form fluxes is introduced to satisfy RR 5-form Bianchi identity.

¹⁵“Deformation” here is a misnomer, as all that is required is a different slicing of the same manifold.

analogous deformation parameter (denoted ρ_0 in Fig. 3) for the stack of $D3$ branes located on a compact manifold away from the KS throat with a $\overline{D3}$ brane sitting at its bottom. This procedure would “compactify” the local de Sitter $\mathcal{N} = 2^*$ throat, inside which we argued slow-roll inflation is possible. In a sense, this is parallel to the construction of GKP [5] where a local (noncompact) KS throat was embedded inside a Calabi-Yau manifold. As a result of compactification, ρ_0 , which was a parameter of the local geometry, will be promoted to a dynamical field. An important question is whether dynamics is such that ρ_0 can be stabilized in the region where the slow roll is allowed, see Fig. 3.

Phenomenologically, inflation in the de Sitter deformed $\mathcal{N} = 2^*$ throat will be characterized by having a relatively low Hubble scale ($H \sim 10^8\text{--}10^{10}$ GeV), negligible (unobservable) power in the gravity wave perturbations,

and larger than 1 tilt in the spectrum of density perturbations. It will be interesting to explore models for the exit from inflation in this scenario (we mentioned that this might require placing $\overline{D3}$ brane in the inflationary throat as well). Also, assuming that the Standard Model fields live in the KS throat, they will not couple directly to the inflaton. Thus finding efficient mechanisms for reheating might be challenging as well.

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